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**Full Title: Color phenotypes are under similar genetic control in two distantly related species of *Timema* stick insect**

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24   **Abstract**

25   Ecology and genetics are both of general interest to evolutionary biologists as they can  
26   influence the phenotypic and genetic response to selection. The stick insects *Timema*  
27   *podura* and *T. cristinae* exhibit a green/melanistic body color polymorphism that is  
28   subject to different ecologically-based selective regimes in the two species. Here we  
29   describe aspects of the genetics of this color polymorphism in *T. podura*, and compare  
30   this to previous results in *T. cristinae*. We first show that similar color phenotypes of the  
31   two species cluster in phenotypic space. We then use genome-wide association mapping  
32   to show that in both species, color is controlled by few loci, dominance relationships  
33   between color alleles are the same, and SNPs associated with color phenotypes co-  
34   localize to the same linkage group. Regions within this linkage group that harbor genetic  
35   variants associated with color exhibit elevated linkage disequilibrium relative to genome  
36   wide expectations, but more strongly so in *T. cristinae*. We use these results to discuss  
37   predictions regarding how the genetics of color could influence levels of phenotypic and  
38   genetic variation that segregate within and between populations of *T. podura* and *T.*  
39   *cristinae*, drawing parallels with other organisms.

## Introduction

Recent advances in sequencing technologies have facilitated a proliferation of studies describing genomic patterns of differentiation between species or populations found in different geographical or ecological contexts (Hohenlohe et al. 2010; Nadeau et al. 2012; Jones et al. 2012; Ellegren et al. 2012; Soria-Carrasco et al. 2014; Poelstra et al. 2014). While in some cases genetic regions showing accentuated differentiation harbor genes that are known to underlie traits involved in adaptation (Dasmahapatra et al. 2012; Poelstra et al. 2014), the phenotypic effects of the genes contained within such ‘outlier’ regions is typically unknown. Identifying genetic regions harboring adaptive loci is thus a key goal in evolutionary biology, and can facilitate subsequent tests of how selection affects patterns of genetic differentiation.

Even if the specific genes controlling adaptive phenotypes are unknown, general aspects of their genetics, such as numbers of loci underlying phenotypic variation, dominance relationships between alleles, and the genomic distribution of adaptive genes can provide insight into the evolutionary process (Rausher & Delph 2015). For example, when adaptation is the result of many loci, each having small effects on phenotypic variation, the genetic response to selection is expected to result in minor and even transient shifts in allele frequencies across loci (Pritchard et al. 2010; Berg & Coop 2014; Yeaman 2015). This scenario can contrast one where strong genetic differentiation can be observed as a result of selection acting on traits controlled by few loci, each having large phenotypic effects (Nadeau et al. 2012; Poelstra et al. 2014). Thus, whether traits are

highly polygenic versus controlled by few loci of large-effect has implications for patterns of genomic differentiation.

Additional aspects of genetic architecture, such as dominance relationships among alleles and localized patterns of linkage disequilibrium (LD), will also affect the response to selection. For example, dominance relationships between alleles can affect whether an allele's phenotypic effects are expressed and thus visible to selection (Haldane 1927; Charlesworth 1992; Rosenblum et al. 2010). Patterns of LD in genomic regions harboring alleles involved in adaptation will influence the genomic extent to which selection will affect genetic differentiation: if LD is high, selection can have impacts across a broad genomic region, while low LD is expected to result in more localized effects (Maynard Smith & Haigh 1974; Barton 2000). Understanding these aspects of the genetics of traits therefore has important implications for understanding patterns of differentiation and segregation among and within populations, respectively.

Here we study the genetics of a green/melanistic color polymorphism found in two species of *Timema* stick insects. The genus *Timema* is comprised of ~21 species of herbivorous insects that are endemic to southwestern North America and show a wide range of within- and among-species variation in body coloration (Sandoval et al. 1998). This variation is known to be of adaptive significance, for example in crypsis and the avoidance of visual predation by lizards and birds (Sandoval 1994; Sandoval & Nosil 2005). Similar color phenotypes frequently segregate as polymorphisms in distantly related species of *Timema* (Crespi & Sandoval 2000), providing a well suited system for addressing questions regarding the ecology and genetics of the evolution of adaptive color phenotypes. *Timema podura* and *T. cristinae* are two such species that both display

an intraspecific polymorphism in color (Fig. 1). These species are estimated to have diverged from a common ancestor approximately 20 million years ago (Timema have a single generation per year; Sandoval et al. 1998) and represent an interesting opportunity to study the evolution of color because it is unclear whether a similar genetic basis underlying phenotypic variation is expected between species that diverged so long ago (Conte et al. 2012). The goals of this study are therefore to (1) quantitatively describe similarities (and differences) in color between *T. podura* and *T. cristinae*, (2) determine aspects of the genetic control of color in *T. podura* – including the number of loci underlying this variation and dominance relationships between alleles – to facilitate comparisons with that of *T. cristinae*, (3) compare patterns of LD observed within genomic regions containing candidate SNPs associated with color in both *T. podura* and *T. cristinae*, and (4) generate predictions regarding how aspects of genetics might influence genetic differentiation in each species, which can be tested in future work.

## **Methods**

### **Study system**

*Timema cristinae* is endemic to the coastal chaparral of the westernmost mountains of the Transverse Ranges of southern California and is primarily found on the two host plants *Ceanothus spinosus* (Rhamnaceae) and *Adenostoma fasciculatum* (Rosaceae). Within *T. cristinae*, green and melanistic color phenotypes segregate as a polymorphism and the frequency of the color phenotypes does not differ between populations inhabiting different species of host plants (Comeault et al. 2015). Green

individuals of this species can also express a dorsal white stripe, however this trait is not expressed in melanistic individuals (Comeault et al. 2015) and thus we do not deal with it here. The color phenotypes of *T. cristinae* are maintained within populations due to a balance of selective agents that are similar between hosts and include selection for crypsis in leafy (green favored) and woody (melanistic favored) plant microhabitats, differences in fungal infection rates, and potential fitness differences associated with climatic variation. Classical genetic crosses and genome wide association (GWA) mapping indicate that *T. cristinae* color phenotypes are under simple Mendelian control with most variation in color being explained by a single region on linkage group 8 (LG 8), with the green allele dominant to the melanistic allele (Comeault et al. 2015).

The other species we consider, *T. podura*, is endemic to the San Bernardino, Santa Rosa, and San Jacinto Mountains of central southern California and also inhabits host plant species in the genus *Ceanothus* (*C. leucodermis*) and *Adenostoma* (*A. fasciculatum*). Like *T. cristinae*, *T. podura* display either a green or a melanistic color phenotype (Fig. 1; melanistic individuals have also been referred to as “grey” or “red”; Sandoval & Nosil, 2005). Unlike *T. cristinae*, the frequency of *T. podura* color phenotypes is different between populations living on different host species: green *T. podura* are, to current knowledge, not found on *A. fasciculatum* (Sandoval & Nosil 2005). Experiments have shown that avian predators preferentially depredate melanistic individuals when on *C. leucodermis* (potentially due to the light green color of *C. leucodermis* branches) and green individuals on *Adenostoma* (Sandoval & Nosil 2005). Thus, in contrast to *T. cristinae*, there is evidence for divergent selection acting on *T. podura* color phenotypes between host species. The maintenance of melanistic *T. podura*

on *C. leucodermis* could be due to gene flow between populations found on different hosts, as documented in *T. cristinae* at spatial scales similar to those separating populations of *T. podura* on different hosts (Nosil et al. 2012; Sandoval and Nosil 2005). Aspects of the genetics of color (such as dominance relationships among alleles) could also contribute to the maintenance of maladaptive phenotypic variation, but until now the have remained unknown.

#### Quantifying variation in color

To quantitatively measure color we recorded digital images of 42 adult *T. podura* collected from a phenotypically variable population found on *C. leucodermis* plants (population code: BSC; 33.816°N, -116.790°W) and 602 *T. cristinae* found on *A. fasciculatum* (FHA; 34.518°N, -119.801°W). The 602 *T. cristinae* images have previously been used to qualitatively describe color (classified as green versus non-green; Comeault et al. 2015), while here we report novel analyses that quantitatively describe color in a manner that allows direct comparison between the two species. All digital images were recorded under standard conditions and color and exposure were corrected in post-processing (SI).

For each image we first recorded RGB values of the lateral margin of the second thoracic segment for each individual using the color histogram plugin in ImageJ (Abràmoff et al. 2004). For each individual we then summarized variation in red-green color (RG) using the relationship  $(R-G)/(R+G)$ , green-blue color (GB) as  $(G-B)/(G+B)$ , and luminance (i.e. brightness; L) as  $(R+G+B)$  (Endler 2012). While this method of measuring color does not take into account the visual system of the receiver or the light



environment an object is viewed in, it does represent an unbiased measurement of color that can be useful in a comparative context. Because *T. cristinae* does not reflect UV light (Comeault et al. 2015) the digital images we use here likely capture a majority of the biologically relevant differences between the color phenotypes.

We quantified phenotypic overlap between *T. podura* and *T. cristinae* color morphs using RG, GB, and L values. First, we used linear models assuming normally distributed error to compare RG, GB, and L values between green and melanistic *T. podura* color phenotypes, green and melanistic *T. cristinae* color phenotypes, green *T. podura* and green *T. cristinae*, and melanistic *T. podura* and melanistic *T. cristinae*. We also analyzed the position of the different colors in phenotypic space using an approach analogous to that used by Beuttell & Losos (1999) to quantify clustering of *Anolis* ecomorphs in multivariate phenotypic space. Specifically, we first calculated the Euclidean distance between all individuals in our sample (i.e. all pairwise comparisons) in RG – GB color space. We then used Wilcoxon signed rank tests to determine whether phenotypic distances observed between the same color phenotypes of the two species (i.e. *T. podura* and green *T. cristinae* or melanistic *T. podura* and melanistic *T. cristinae*) were less than the phenotypic distance between different colored individuals of the same species. These analyses enabled us to ask whether the same color phenotypes of the two species are closer to each other, in phenotypic space, than to the alternate color phenotype of their own species. All statistical analyses were carried out in R (Core Team 2013).

Genomic sampling of *T. podura*

We extracted whole genomic DNA from 50 *T. podura* (19 green and 31 melanistic) that included the same 42 individuals used to quantify color and 8 additional individuals sampled from the same population that were not photographed (but were qualitatively scored as “green” or “melanistic”) using Qiagen DNeasy blood and tissue kits (Qiagen). We then used the method of Parchman et al. (2012) to generate individually barcoded restriction-site associated DNA libraries for each of these 50 individuals (SI). We pooled these 50 libraries with an additional 48 uniquely barcoded libraries that were part of another study, selected for fragments ranging in size from 300 to 500 bp with Pippin-prep targeted size selection (Sage Science, Inc., MA, USA), and sequenced on a single lane of the Illumina HiSeq2000 platform using V3 reagents at the National Center for Genome Research (Santa Fe, NM, USA).

We removed barcodes and the following six bp of the EcoRI cut site from raw sequence reads, while allowing for single bp errors in the barcode sequence due to synthesis or sequencing error, using a custom Perl script developed and implemented in Nosil et al. (2012). Following removal of barcode sequences this resulted in a total of 130,280,785 raw sequence reads with an average of 2,605,616 reads per individual (95% interval = 1,351,013 – 3,356,050) and an average length of 83 bp (95% interval = 63 – 86). We aligned 90,923,479 of these reads (69.8%) to the reference genome sequence of *T. cristinae* (Soria-Carrasco et al. 2014) using BOWTIE2 version 2.1.0 (Langmead & Salzberg 2012) with the local model and the ‘--very-sensitive-local’ preset (-D 20 -R 3 -N 0 -L 20 -i S,1,0.50). We used SAMTOOLS version 0.1.19 (Li et al. 2009) to sort and index alignments. We used the reads mapped to the *T. cristinae* genome to generate a reference consensus sequence of *T. podura* using SAMTOOLS “mpileup”, and

BCFTOOLS. We used vcfutils.pl with the “vcf2fq” command to filter out positions with a number of reads below 8 and above 500, as well as those with a phred-scale mapping quality score lower than 20. Filtered sites were coded as missing data. Subsequently, we used BOWTIE2 with the same arguments used above to align 100,095,223 raw reads (76.8%) to this reference consensus. As before, the alignments were sorted and indexed with SAMTOOLS.

          Variants were called using SAMTOOLS “mpileup” and BCFTOOLS using the full prior and requiring the probability of the data to be less than 0.5 under the null hypothesis that all samples were homozygous for the reference allele to call a variant. Insertion and deletion polymorphisms were discarded. We identified 638,828 single nucleotide polymorphisms (SNPs) that were reduced to 137,650 SNPs after discarding SNPs for which there were sequence data for less than 40% of the individuals, low confidence calls with a phred-scale quality score lower than 20, and SNPs with more than two alleles. Average depth of the retained SNPs across all individuals was ~460x (mean coverage per SNP per individual ~9x).

          We used a custom Perl script to calculate empirical Bayesian posterior probabilities for the genotypes of each individual and locus using the genotype likelihoods and allele frequencies estimated by BCFTOOLS along with Hardy-Weinberg priors (i.e.  $p(A)=p^2$ ;  $p(a)=(1-p)^2$ ;  $p(Aa)=2p(1-p)$ ). We then computed the posterior mean genotype scores for each individual, at each locus, by multiplying the probability of the homozygous minor allele genotype by two and adding the probability of the heterozygous genotype. These imputed genotype scores range from zero to two and represent the dosage of the minor allele in a given genotype. All imputed genotype scores were saved

in bimbam file format. These imputed genotypes were used for multi-locus GWA mapping analyses and principal components analyses (PCAs) described below. Because other analyses (e.g. analyses of linkage disequilibrium and single-SNP GWA mapping) required discrete genotypic data, we collapsed imputed genotype scores into three discrete genotypic values: imputed genotypes ranging from 0 to 0.6 (inclusive) were scored as homozygous for the minor allele, imputed genotypes between 0.6 and 1.4 were scored as heterozygous, and imputed genotypes greater than or equal to 1.4 were scored as homozygous for the major allele.

#### Genetic structure within the *T. podura* sample

Population structure can confound GWA mapping studies (Freedman et al. 2004; Price et al. 2006). Although this is unlikely to be a major issue in our data set because *T. podura* were sampled in a single locality at the scale of only hundreds of meters, we nonetheless tested for genetic structure using two approaches. First, we used a hierarchical Bayesian model that jointly estimates genotypes and admixture proportions as implemented in the program ENTROPY (available from Gompert et al. 2014). This model is similar to the popular STRUCTURE algorithm (Pritchard et al. 2000), but accounts for sequencing error and genotype uncertainties inherent to next-generation sequencing methods (for comparable approach see Skotte et al. 2013). We estimated parameters for models with  $K = 1-4$  population clusters and used the deviance information criterion (DIC) to determine the number of clusters most appropriately represented by our data (Spiegelhalter et al. 2002; see SI for details).

In addition to hierarchical Bayesian modeling, we carried out a PCA on the matrix of imputed genotype scores using the “pca” function in the R library PCAMETHODS. We then assessed the number of PCs that significantly described genetic variation using the  $Q^2$  cross-validation statistic (Krzanowski 1987) as calculated using the argument “cv = ‘q2’” within the “pca” function. The value of  $Q^2$  represents a measure of the explained variation of a given PC relative to random expectations and is calculated as  $1 - (\text{predicted residual sum of squares} / \text{residual sum of squares})$  (Krzanowski 1987; Abdi & Williams 2010). We interpreted PCs with  $Q^2 > 0.05$  as capturing a significant amount of variation in our data (Abdi & Williams 2010). To determine whether phenotypic variation in color was concordant with genetic variation described by significant PCs, we fit generalized linear models with binomial error terms for each PC, where the PC score was the predictor variable and color was the response variable. If a PC explained a significant amount of variation in color (as determined using likelihood ratio tests and a Bonferroni-corrected  $\alpha = 0.0036$ ), we assessed the strength of that PC’s association with color phenotypes using the proportional increase in residual deviance explained by that model relative to the null (i.e. pseudo  $R^2$ ; Dobson 2002). As described in the Results, these analyses show there is no major axis of genetic variation that is correlated with color phenotypes in our data set. Nonetheless, we account for relatedness and population structure in our GWA analyses as described below.

#### Genetic control of *T. podura* color phenotypes estimated through GWA mapping

We estimated aspects of the genetic basis of color phenotypes in *T. podura* using multi-locus Bayesian sparse linear mixed models (BSLMMs) as implemented in the

software package GEMMA (Zhou & Stephens 2012; Zhou et al. 2013). Because *T. podura* color phenotypes were completely non-overlapping in two-dimensional color space (Fig. 1b) we unambiguously scored each of the 50 genotyped individuals as green ( $n = 19$ ) or melanistic ( $n = 31$ ) and ran probit BSLMMs in GEMMA (as done previously for green and melanistic phenotypes of *T. cristinae*: Comeault et al. 2015). Multi-locus association mapping in GEMMA accounts for both relatedness among individuals and LD between SNPs by including a genomic kinship matrix as a random effect and estimating SNP effect sizes while controlling for other SNPs included in the model, respectively (Zhou et al. 2013).

Bayesian sparse linear mixed models as implemented in GEMMA also provide useful estimates of hyperparameters that quantitatively describe the genetics of traits (Zhou & Stephens 2012; Zhou et al. 2013; see Discussion). These hyperparameters include the total phenotypic variation explained by all SNPs (proportion of phenotypic variation explained; PVE), the proportion of PVE that can be explained by ‘measurable-effect’ SNPs that have non-zero, and detectable, effects on phenotypic variation (PGE) that are independent of the kinship matrix included in the model, and the number of independent genomic regions needed to explain the PVE ( $n$ -SNPs; the number of SNPs where the relationship between genotype and phenotype [ $\beta$ ] is estimated to be greater than zero).

We implemented BSLMMs in GEMMA using 10 independent Markov-chain Monte Carlo (MCMC) chains ran for 25 million steps with an initial burn-in period of 5 million steps. Parameter values estimated by BSLMMs were recorded every 100 steps and written every 10,000 steps. All additional options in GEMMA remained at default

values and SNPs with minor allele frequencies  $< 0.01$  were excluded from these analyses (121,435 SNPs retained). Here we report the median and 95% credible interval (95% equal tail posterior probability intervals [95% ETPPIs]) for PVE, PGE, PVE x PGE (an estimate of the total phenotypic variation explained by only SNPs with large phenotypic effects), and n-SNP. To assess the strength of the genetic signal in our data set to accurately estimate hyperparameters we carried out both permutation tests and cross-validation using genomic prediction (SI).

In addition to the hyperparameters described above, GEMMA provides the posterior inclusion probability (PIP) and estimates the phenotypic effect ( $\beta$ ) of each SNP that is identified as having a non-zero effect on phenotypic variation in at least one model iteration. PIP is computed as the proportion of model iterations that a given SNP is identified as having a non-zero  $\beta$ . SNPs that are more strongly associated with phenotypic variation are therefore expected to have large PIPs and these SNPs are the strongest candidates of being linked to the functional variant(s) underlying phenotypic variation. Thus, the magnitude of the PIP of a SNP reflects the weight of evidence that that SNP is associated with variation in *T. podura* color phenotypes.

For comparison with multi-locus GWA mapping analyses, we also implemented single-SNP GWA mapping. This analysis was carried out following the EIGENSTRAT method of Price et al. (2006) as implemented in the GENABEL R library (Aulchenko et al. 2007). Prior to single-SNP GWA mapping we remove SNPs with minor allele frequencies less than 0.01, individuals with call rates  $< 0.95$ , individuals with the proportion of alleles identical-by-state (IBS)  $> 0.95$ , and individuals with abnormally high levels of heterozygosity (false discovery rate  $< 0.01$ ) with the “check.marker”

function in GENABEL (Aulchenko et al. 2007). We also excluded SNPs that were out of Hardy-Weinberg equilibrium using the “check.marker” function, setting the “p-level” option to 0.0001. These conditions resulted in all 50 individuals and 85,291 SNPs being retained for single-SNP GWA mapping. We adjusted for population structure in this analysis by including the first 14 axes of genetic variation generated from a PCA of the genomic kinship matrix (14 axes is the number that describe a significant amount of genetic variation in our sample, see Results).

#### Co-localization of regions associated with color in the two species

Because we found SNPs mapping to LG 8 to have the largest mean PIP in both *T. cristinae* and *T. podura* (see Results), we tested whether this pattern is expected by chance using permutation tests. The purpose of this analysis was to determine the probability of co-localization of SNPs with high PIPs to LG 8 while accounting for: (1) the genomic distribution of SNPs in our data set and (2) the distribution of PIPs observed for these SNPs. We therefore randomly permuted PIPs (without replacement) 10,000 times for both the *T. podura* and *T. cristinae* SNP data sets. During this permutation procedure the number and location of SNPs along each linkage group was maintained. We then calculated the proportion of permuted data sets for which LG 8 had the largest mean PIP in both species as our null expectation.

#### Dominance relationships at candidate loci

We next determined dominance relationships at the *T. podura* candidate SNPs identified by GWA mapping by calculating the ratio of dominant to additive effects of



alleles at each of these SNPs (for parallel analysis in *T. cristinae* see Comeault et al. 2015). Because color phenotypes are discrete and unambiguously scored (Fig. 1), each green individual was assigned a score of 0 and each melanistic individual a score of 1. Dominance effects ( $d$ ) are calculated as the difference between the mean phenotype of heterozygotes and half difference between the mean phenotypes of the two homozygous genotypes. Additive effects ( $a$ ) were calculated as half the phenotypic difference between the mean phenotype of the two homozygous genotypes. The ratio  $d/a$  represents the deviance of the phenotypes of heterozygotes from those expected under additivity (Burke et al. 2002; Miller et al. 2014). The expected value of  $d/a$  for additive alleles is 0 while completely dominant or recessive alleles will be 1 or -1. Here we follow previous conventions (Burke et al. 2002; Miller et al. 2014) and classify alleles as being dominant if  $d/a$  is greater than 0.75, recessive if  $d/a$  is less than -0.75, partially dominant or partially recessive if  $d/a$  is between 0.75 and 0.25 or -0.75 and -0.25, respectively, and additive if  $d/a$  is between -0.25 and 0.25.

Linkage disequilibrium between candidate SNPs and within candidate genomic regions

To quantify levels of LD for candidate genomic regions identified by GWA mapping, we computed genotypic correlations ( $r^2$ ) for the regions spanned by all candidate SNPs mapping to LG 8 of the *T. cristinae* genome (i.e., the entire region between the ‘left-most’ and ‘right-most’ SNP on this LG, considering a linear genomic organization). We focused on LG 8 because this linkage group contained the strongest evidence for containing variants associated with color phenotypes in both species (see Results). We were carried out all LD analyses described below in parallel for *T. podura*

and *T. cristinae* using SNPs that passed the same filters described for those used in single-SNP GWA mapping. For *T. cristinae* we used a previously published data set used to identify candidate SNPs associated with color (Comeault et al. 2015) with the same filtering applied to the *T. podura* data set. Prior to LD analyses in *T. cristinae* we randomly down-sampled the number of individuals to match that of *T. podura* (i.e. 19 green and 31 melanistic individuals). All LD analyses were carried out using the “r2fast” function of the GENABEL R library (Aulchenko et al. 2007)

Following filtering we computed LD between each candidate SNP (all pairwise comparisons), the candidate genomic region spanning all LG 8 candidate SNPs, regions on LG 8 that did not contain candidate SNPs (hereafter “non-candidate region”), and the genome as a whole. Within candidate and non-candidate regions we retained a single SNP per sequence read (i.e., 100 bp) as to not inflate estimates of LD due to mapped sequences containing multiple SNPs. Following this procedure, we calculated  $r^2$  between all SNPs located on the same scaffold for each scaffold within a given region. We restricted LD comparisons to SNPs found on the same scaffold because we were interested in localized LD and the absolute distance between SNPs on different scaffolds of the current draft of the *T. cristinae* (v0.3) genome is unknown. To estimate ‘background’ levels of LD within the genome we randomly sampled 1000 SNPs from across the genome (i.e., using all LGs) and calculated LD for all pairwise comparisons.

To determine whether levels of LD between the candidate SNPs, within candidate regions, and within non-candidate regions were greater than null genomic expectations, we compared the proportion of pairwise LD comparisons for a given class of SNPs with median LD of the random genomic sample of 1000 SNPs using binomial tests. The

genomic expectation for this analysis is that 50% of LD comparisons within a given class will be below and above median genomic LD.

In addition to quantifying LD within defined genomic regions, we measured the decay of LD with distance for each of the 13 linkage groups of the *T. cristinae* genome by computing the mean and 99% empirical quantile of  $r^2$  as a function of the distance between SNPs. Measurements of LD were binned into 100 bp bins depending on the distance between the two SNPs used to calculate LD (e.g., estimates of LD for all SNPs 301 to 400 bp apart were binned into one bin).

## Results

### Quantifying variation in color

Within *T. podura* the green and melanistic phenotypes differ with respect to RG and GB color ( $F_{1,40} = 158.92$ ,  $P < 0.001$ ;  $F_{1,40} = 126.66$ ,  $P < 0.001$ ) but not luminance ( $F_{1,40} = 3.76$ ,  $P = 0.06$ ). Within *T. cristinae* the color phenotypes differ in RG color, GB color, and luminance ( $F_{1,600} = 1050.90$ ,  $P < 0.001$ ;  $F_{1,600} = 52.07$ ,  $P < 0.001$ , respectively). Comparing color phenotypes between species reveal that melanistic *T. podura* do not differ from melanistic *T. cristinae* in GB color ( $F_{1,82} = 1.68$ ,  $P = 0.20$ ) but have significantly different RG color ( $F_{1,82} = 4.371$ ,  $P = 0.04$ ) and luminance ( $F_{1,82} = 29.05$ ,  $P < 0.0001$ ). Green *T. podura* differ from green *T. cristinae* in RG color, GB color, and L ( $F_{1,558} = 25.14$ ,  $P = 0.004$ ;  $F_{1,558} = 44.28$ ,  $P < 0.001$ ;  $F_{1,558} = 41.53$ ,  $P < 0.001$ , respectively).

Despite some difference in color between *T. podura* and *T. cristinae*, both green and melanistic color phenotypes broadly overlap in RG – GB color space and the Euclidean distances between similarly colored individuals of each species were much less than the Euclidean distances between differently colored individuals within species (mean [SE] Euclidean distance between *T. podura* and *T. cristinae* having the same color = 0.193 [0.0011] and between differently colored *T. podura* = 0.377 [0.0050] or *T. cristinae* = 0.501 [0.0006]; Fig. 1b). Therefore, while there are slight differences in the color phenotypes of *T. podura* compared to those of *T. cristinae*, similar color phenotypes cluster tightly in phenotypic space and are more similar to each other than to differently colored individuals of their own species ( $U = 315,985,777$ ,  $P < 0.0001$ ; Fig. 1b).

#### Genetic structure within the *T. podura* sample

To test for potential genetic structure within our sample of 50 *T. podura*, we carried out hierarchical Bayesian modeling and PCA on the imputed genotype matrix. DIC increased with the number of clusters in hierarchical Bayesian model ran with  $K = 1 - 4$  and the best model was  $K=1$  (Table S1). When models were run with  $K > 1$ , we did not observe any distinct clustering of individuals based on color phenotype (Fig. S1). Principal Components Analysis of genotype likelihoods identified 14 axes that describe a significant amount of genetic variation based on a threshold of  $Q^2 > 0.05$  (Table S2). Together, these 14 PCs explained a cumulative 53.59% of the variation in genotypes and PC1 accounted nearly half (26.59%) of this variation. Binomial regressions of color phenotype against PC scores revealed that only two of the 14 PCs (PC4, and PC7) explain a significant amount of variation in color phenotypes (Table S2); however, these

PCs each account for a small fraction of total genetic variation in our data set (2.44% and 2.04%, respectively). Taken together, these results indicate that there is no major axis of genetic variation correlated with color phenotype. Nonetheless, all GWA mapping analyses we describe below implement methods to correct for minor levels of genetic structure among individuals (see Methods).

Genetic control of *T. podura* color phenotypes estimated through GWA mapping

Hyperparameters estimated from BSLMMs indicate that color variation in *T. podura* is controlled by a simple genetic architecture with 97% of phenotypic variation being explained by genotype and 94% of this explained variation being due to only two SNPs with measurable phenotypic effects (median estimates; Fig. 2 for complete posterior distributions). Similar results were obtained for *T. cristinae* with 95% of phenotypic variation in color being explained by genotype and 95% of this explained variation being due to 7 SNPs with measurable phenotypic effects (median estimates; Fig. 3; Comeault et al. 2015).

Two SNPs in the *T. podura* data set were identified as having measurable effects on color phenotypes in > 10% of BSLMM iterations (i.e., PIPs > 0.10; blue points in Fig. 3b). Both of these SNPs map to LG 8 of the *T. cristinae* genome: one at position 10972 of scaffold 1806, 13.6 kb from the nearest gene annotation and the second at position 349343 of scaffold 284, 4.3 kb from the nearest gene annotation (see Supplementary File 1 for InterPro or GO annotations for each predicted gene located on these two scaffolds and the candidate scaffold identified by single-SNP GWA mapping [results presented below]). The PIPs of these SNPs are 0.295 and 0.102, their model-averaged estimates of

$\beta$  are 9.92 and 4.25, respectively, and melanistic alleles are recessive to green alleles ( $d/a$  = -1 and -0.95, respectively; Fig. 4).

Cross-validation analyses revealed that hyperparameter estimates and effect sizes reported above are unlikely due to chance. For example, BSLMM analyses repeated using randomly permuted phenotypic data sets did not recover any SNPs having measurable effects on phenotypic variation in > 10% of model iterations and confidence intervals for hyperparameter estimates spanned nearly the entire interval [0,1], indicating a strong genetic signal within our observed data (Fig. S2). This strong genetic signal was also confirmed by our ability to accurately predict the phenotype of individuals from genotypic information alone (prediction accuracy = 96.8%).

Single-SNP GWA mapping in *T. podura* identified two SNPs that are associated with color phenotypes that also map to the *T. cristinae* genome assembly (significance level:  $P < 0.000001$ ; Table S3). One of these SNPs mapped to LG 8 (scaffold 1154; position 30072) and the second to LG 10 (scaffold 380; position 189546). Dominance relationships between alleles at these two SNPs mirror those of the SNPs identified by multi-SNP mapping with green alleles being dominant to melanistic alleles ( $d/a$  = -0.95 and -0.94, respectively; Fig. 4). Because LG 8 has the highest density of candidate SNPs identified by both multi-locus and single-SNP GWA mapping in both *T. podura* and *T. cristinae* (Table S3 for results from *T. podura* and Comeault et al. 2015 for results for *T. cristinae*), we focus our remaining analyses on this LG.

Co-localization of regions associated with color in the two species

We explored whether SNPs associated with color variation were statistically concentrated on LG 8 by calculating the mean PIP for SNPs within each LG. Previous work in *T. cristinae* suggests that SNPs associated with color were concentrated on LG 8 (Comeault et al. 2015). We confirmed this result (Fig. 3a). In *T. podura*, mean PIP also differs significantly across the 13 LGs (proportion test;  $\chi^2 = 21731.33$ , d.f. = 12,  $P < 0.001$ ) and SNPs mapping to LG 8 had the highest mean PIP of all LGs (mean PIP = 0.000111; Fig. 3a). This mean PIP was nearly an order of magnitude greater than the LG with the second largest mean PIP (LG 1; mean PIP = 0.0000194). The two candidate scaffolds we identify for *T. podura* were both located on LG 8 and had mean PIPs of 0.0118 and 0.00161 (scaffolds 1806 and 284). Randomization tests showed that the co-localization of candidate SNPs in *T. podura* and *T. cristinae* to LG 8 is unlikely to happen by chance ( $P = 0.0067$ ); however, within LG 8, candidate SNPs mapped to different scaffolds in the two species and we do not have the resolution to determine whether there is further co-localization of functional variation.

Linkage disequilibrium between candidate SNPs and within candidate genomic regions

Genotypes at LG 8 candidate SNPs are in strong LD within *T. podura* and within *T. cristinae* (median  $r^2 = 0.81$  and  $0.46$ , respectively), and all estimates of LD between candidate SNPs are greater than the 97.5% empirical quantile of genome-wide LD (Table 2). The higher LD observed between *T. podura* candidate SNPs could be due to there being fewer candidate SNPs identified for *T. podura* compared to *T. cristinae* (3 versus 26) and the fact that the *T. podura* candidate region spans a shorter genomic distance than

the *T. cristinae* candidate region (combined scaffold lengths of candidate region = 8.1 Mb and 12.7 Mb, respectively).

Linkage disequilibrium within the candidate genomic region that contains candidate SNPs in *T. podura* is 28.3% greater than median genomic LD ( $P < 1 \times 10^{-15}$ ; Table 2) while LD within the non-candidate region is not elevated relative to median genomic LD ( $P = 1$ ; Table 2). Linkage disequilibrium within the *T. cristinae* candidate genomic region is also greater than median genomic LD, but even more strongly so than in *T. podura* (i.e., 113.4% greater than mean genomic LD;  $P < 1 \times 10^{-15}$ ; Table 2). This large difference in LD within the ‘candidate’ versus ‘background’ regions was observed despite the *T. cristinae* candidate region spanning 12,739 Kb (versus 8,135 Kb in *T. podura*), containing roughly twice as many SNPs as the *T. podura* candidate region (1171 and 499 SNPs, respectively), and the average mean-distance between SNPs contained on candidate scaffolds being roughly equal (112 [SD = 67] Kb in *T. cristinae* and 109 [94] Kb in *T. podura*). In contrast to *T. podura*, LD within the non-candidate region of LG 8 in *T. cristinae* is also elevated ( $P < 1 \times 10^{-15}$ ; Table 2). Linkage disequilibrium is therefore elevated within the candidate region on LG 8 in both species, however this LD is more pronounced, and extends across a longer genomic distance, in *T. cristinae* compared to *T. podura*.

Supporting this finding, the decay of LD with distance was the same for each linkage group in the *T. podura* sample, with LD falling to genomic background levels within ~100 bp (Fig. 5). By contrast, in *T. cristinae* LD within LG 8 remains elevated over larger genomic distances when compared to the genomic background (Fig. 5).



## Discussion

Our results show that similar color phenotypes of *T. podura* and *T. cristinae* largely overlap in two-dimensional color space, with strong divergence between color morphs within species (Fig. 1b). In addition to the similarities we observe at the phenotypic level, we show that color phenotypes in *T. podura* and *T. cristinae* share at least three aspects of genetics. First, color phenotypes in both species are controlled by major effect loci (Fig. 2). Second, dominance relationships of alleles associated with color phenotypes are the same between these two species, with green alleles dominant to melanistic alleles (Comeault et al. 2015). Third, the same LG is implicated in each species, with genotype – phenotype associations co-localizing to LG 8. These results generate the testable hypothesis that the same gene (or group of genes) might control color in these two species. Future work is required to test this hypothesis, for example using fine scale mapping and analyses of synteny. Such tests could allow interesting parallels (or differences) to be drawn with other species, such as *Heliconius* butterflies, where genetic variation affecting aposematic color phenotypes found in multiple species has been shared through introgression (Dasmahapatra et al. 2012; Wallbank et al. 2016). Below we discuss the implications of our current findings, including those that do not rely on resolving the causal variants affecting color, along with additional questions that could be resolved by elucidating such variants.

Implications of genetic architecture for the response to selection

Important insights into the evolutionary process can be gained through an understanding of quantitative aspects of the genetics of traits involved in adaptation and speciation (Rausher & Delph 2015). As we describe in the methods of this manuscript, multi-locus GWA mapping using BSLMMs provides advantages over single-SNP GWA analyses because it provides estimates of three hyperparameters that quantitatively describe aspects of genetics of traits while accounting for uncertainty in the specific SNPs (and genes) causally associated with phenotypic variation (Zhou & Stephens 2012; Zhou et al. 2013). These hyperparameters – namely the number of genetic regions underlying phenotypic variation, the ‘polygenic’ component of phenotypic variation, and the amount of phenotypic variation explained by SNPs with measurable effects on phenotypic variation – can be useful in helping predict the phenotypic and genetic response to selection. Our results predict that selection acting on color in populations of *T. podura* and *T. cristinae* will result in strong divergence at the genetic regions underlying those color phenotypes. Moreover, patterns of LD suggest that selection acting on color phenotypes in *T. podura* could have less of an effect on neighboring sites in the genome than in *T. cristinae*, because LD within the genomic region controlling color is low in *T. podura* when compared to *T. cristinae*.

LD affects the genomic response to selection and can be generated by several mechanisms. For example, elevated LD can represent regions of reduced recombination (e.g., due to structural variation such as chromosomal re-arrangement; Lowry & Willis 2010) or positive, correlated, or epistatic selection (e.g., Kim & Nielsen 2004). These are not mutually exclusive mechanisms because selection can favor structural rearrangements that capture multiple alleles that positively affect fitness (Kirkpatrick & Barton 2006;

Feder et al. 2013). In *T. cristinae*, the mechanisms generating high LD on LG 8 are unknown, but the size of the region affected (28.25% of this linkage group) hints at the possibility of a large-scale inversion polymorphism. In *T. podura*, the genomic extent and magnitude of LD within the candidate region is less than in *T. cristinae*, suggesting a lack of structural variation, more ancient structural variation (i.e., allowing more time for recombination), or recent, but weaker, selection (Table 2). Future work could usefully test these explanations for variation in LD in these and other *Timema* species.

Dominance relationships at the locus that controls color in the studied species will result in melanistic alleles being hidden from selection in heterozygous individuals. This will have two general effects on the evolutionary response to selection: (1) recessive melanistic alleles will be maintained within populations when they are maladaptive longer than green alleles and (2) dominant green alleles will be able to respond to selection more quickly than melanistic alleles when found at low frequencies in a population. In *T. podura* the melanistic phenotype, to our knowledge, is fixed within populations living on *Adenostoma* (Sandoval & Nosil 2005), suggesting that there is strong selection acting against the green phenotype on *Adenostoma*. This idea is supported by predation experiments that have shown that green *T. podura* are more heavily depredated than melanistic *T. podura* on *Adenostoma*, while the opposite is true on *Ceanothus* (Sandoval & Nosil 2005). Another explanation for the lack of green individuals within *Adenostoma* populations is that the green allele has never reached these populations. This however seems unlikely based on the geographic proximity of *T. podura* populations found on either host (i.e., scale of a few kilometers) and high rates of gene flow among adjacent populations of other species of *Timema* at similar or even

larger scales (Nosil et al. 2012). Given the *T. podura* population analyzed for this study was from *Ceanothus*, it is surprising that we find green alleles at a much lower frequency than melanistic alleles (Fig. 5). A combination of factors could contribute to the higher frequency of melanistic alleles we observe in the population of *T. podura* studied here, including recent colonization, unmeasured sources of selection favoring melanistic individuals (differential survival measured by Sandoval & Nosil 2005 was based on short-term predation by a single predator: Western scrub jays [*Aphelocoma californica*]), the ability of melanistic alleles to hide from selection in heterozygotes, or high rates of directional gene flow from *Adenostoma* to *Ceanothus*.

Influences of genetics on evolution have been shown in *T. cristinae* (Comeault et al. 2015) and other systems (Rosenblum et al. 2010). For two species of lizard living on the white sands of New Mexico (*Sceloporus undulatus* and *Aspidoscelis inornata*), Rosenblum et al. (2010) showed that dominance relationships between derived ‘white’ alleles were dominant to ‘brown’ alleles at the melanocortin receptor 1 locus (*MclR*) in *S. undulatus* but recessive in *A. inornata*. These differences in dominance relationships underlie different patterns in the segregation of genetic variation within populations of these lizards living in white-sand environments. Moreover, this example helps illustrate how understanding the genetic basis of phenotypic variation can help us understand how selection structures genetic and phenotypic variation in natural populations. In turn, genetic architecture itself can evolve, as might occur for dominance relations in *Heliconius* butterflies (Le Poul et al. 2014). The results we present here will help inform such predictions in populations of *Timema* and can be used to develop a better

understanding of speciation through integrating data describing links between phenotypes, genotypes, and fitness.

## Conclusions and future directions

While a quantitative understanding of the genetic basis of color in *T. cristinae* and *T. podura* helps generate predictions regarding patterns of genetic differentiation, identifying the causal alleles (and mutations) controlling these color phenotypes would facilitate a better understanding of the evolutionary history of this variation (e.g., Colosimo et al. 2005; Linnen et al. 2009; Wallbank et al. 2016). For example, do color phenotypes represent an ancestral polymorphism segregating within populations that may have been differentially and independently sorted in the different species? While a phylogeny does exist for *Timema* (Sandoval et al. 1998), green/melanistic-like color polymorphisms are pervasive across species (Crespi & Sandoval 2000), making it difficult to infer the ancestral color (or colors) of this group. If color alleles are segregating from ancestral variation, *Timema* color phenotypes could share similarities with lateral armor plates in stickleback where low-plated alleles at the *Ecotdisplasin* locus (*Eda*) have been re-used during adaptation to fresh-water environments from standing genetic variation segregating in marine populations (Schluter & Conte 2009). Such examples would suggest a bias towards the recurrent evolution of the same color phenotypes across different environments. Alternatively, color phenotypes could be the result of independent evolution occurring at different sites in the same locus or in different loci (Steiner et al. 2009). If the same locus or type of mutation (e.g. cis-regulatory mutations) is involved in the evolution of color in *Timema*, this could suggest

a role of mutational biases in influencing evolutionary trajectories. Streisfeld & Rausher (2011) showed that the evolution of floral pigment intensity is biased towards mutations occurring in transcription factors while the evolution of floral hue is biased towards mutations occurring in coding regions of pathway genes. In light of these examples, identifying causal variants affecting color in *Timema* would help to inform key debates in molecular evolution, such as whether constraints exist in the genetic changes leading to adaptation (Stern & Orgogozo 2009), and the extent to which genes involved in adaptation have pleiotropic effects (Rennison et al. 2015).

The recent increase in our understanding of the genetic basis of adaptive traits in *Timema* stick insects (Comeault et al. 2014, 2015), genomic resources in this system (Soria-Carrasco et al. 2014), and genome editing methods in general (Bono et al. 2015), could help to facilitate the discovery of the specific gene or genes underlying these phenotypes.

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790

791

792 **Tables and Figure Legends**

793 Table 1. Summary of the ecology of the two species of *Timema* stick insects included in  
794 this study.

species	Location	host plants considered here	selection on color phenotypes
T. cristinae	Coastal western Transverse Range, Southern California	C. spinosus, A. fasciculatum	Balance of multiple sources of selection, often within host species, maintains polymorphism.
T. podura	San Bernardino, Santa Rosa and San Jacinto Mountains, Central Southern California	C. leucodermis, A. fasciculatum	Divergent selection acting between host plants.

795

Table 2. Linkage disequilibrium, calculated as genotypic correlations ( $r^2$ ) between pairs of SNPs. Median  $r^2$  and confidence intervals are reported for groups of SNPs sampled at different genomic scales (see methods for details). The confidence interval reported for candidate SNPs represents the minimum and maximum LD observed between any pair of candidate SNPs, while for all other SNP classes confidence intervals are reported as 95% equal tail-probability intervals. “P > genome” represents the probability that the proportion of LD within a given class of SNP with  $r^2$  greater than median genomic LD was observed by chance.

a) <i>T. podura</i>		
genomic scale	$r^2$	P > genome
candidate SNPs	0.8116 (0.7715-0.8931)	< 0.00001
candidate region	0.0179 (0.0000-0.2423)	< 0.00001
non-candidate region	0.0144 (0.0000-0.2083)	1
LG 8	0.0150 (0.0000-0.2162)	< 0.00001
genome	0.0139 (0.0000-0.1992)	n/a
b) <i>T. cristinae</i>		
genomic scale	$r^2$	
candidate SNPs	0.4602 (0.0619-1.0000)	< 0.00001
candidate region	0.0323 (0.0001-0.4281)	< 0.00001
non-candidate region	0.0189 (0.0000-0.2602)	< 0.00001
LG 8	0.0211 (0.0000-0.2941)	< 0.00001
genome	0.0151 (0.0000-0.2054)	n/a



**Figure 1.** (a) Representative images of melanistic and green phenotypes for *T. podura* and *T. cristinae*. (b) Phenotypic position of 42 *T. podura* and 602 *T. cristinae* in RG – GB color space. Hashed lines in ‘b’ represent the range of RG (horizontal line) and GB (vertical line) values for *T. podura* phenotypes and the size of the symbols is proportional to an individual’s luminance.

**Figure 2.** Posterior probability distributions of parameter estimates describing the genetic architecture for color in *T. podura* (red lines) and *T. cristinae* (blue lines). The total amount of phenotypic variation explained by genotype (PVE) and the proportion of that variation that can be explained by SNPs with non-zero affects on phenotypic variation (PGE) are given, along with the number of SNPs in our data set that have non-zero affects on phenotypic variation (N-SNP).

**Figure 3.** Genome wide association mapping of SNPs associated with color variation in *T. podura* and *T. cristinae*. (a) Mean posterior inclusion probabilities (PIPs) for SNPs mapping to each of the 13 *T. cristinae* linkage groups (LGs). Error bars represent one standard error. b) Manhattan plots showing associations between SNPs and color phenotypes in *T. podura* and *T. cristinae*. SNPs significantly associated with color in the single-SNP analyses ( $P < 0.00001$ ) are shown as red points and the LG 8 candidate SNPs identified by multi-locus GWA mapping are shown as solid blue points.

**Figure 4.** Dominance relationships between alleles at candidate SNPs associated with color variation in *T. podura*. Mean phenotype (bars) and 95% binomial confidence

intervals (vertical lines; computed using the ‘binconf’ function in R) are shown for genotypes at each of four candidate SNPs identified by multi-SNP (left two panels) and single-SNP (right two panels) GWA mapping. The location of the candidate SNPs are given above each panel: linkage group (lg) and scaffold (scaf) are given before the position (in bp). Ratios above each bar report the number of melanistic individuals that have that genotype over the total number of individuals with that genotype. Green individuals are scored as “0” and melanistic individuals as “1”. Based on allele frequencies within this sample of individuals, segregation of genotypes at each SNP did not significantly differ from Hardy-Weinberg expectations (all  $P > 0.1$ ).

**Figure 5.** Decay of LD with distance in both *T. podura* and *T. cristinae*. The median (solid lines) and 99% quantile (dashed lines) of  $r^2$  is plotted for SNPs binned by the distance between them. Distances were binned every 100 bp from 1 to 1000bp. Each linkage group is plotted independently and LG 8 is highlighted in red.